

AF/DFW

NOTICE OF APPEAL FROM THE PRIMARY EXAMINER TO  
THE BOARD OF APPEALS

ATTORNEY DOCKET NO.  
122802-3



IN RE APPLICATION OF  
ACKERMAN, *et al.*

SERIAL NUMBER  
10/797,422

FILED  
MARCH 10, 2004

FOR  
THERMAL BARRIER COATING PROTECTED BY INFILTRATED  
ALUMINA AND METHOD FOR PREPARING SAME

GROUP ART UNIT  
1762

EXAMINER  
TUROCY, DAVID P.

To the Director of U.S. Patent and Trademark Office:

Applicant hereby appeals to the Board of Appeals from the decisions dated January 17, 2006 and April 5, 2006, of the Examiner rejecting claims 17-30 and 32-38.

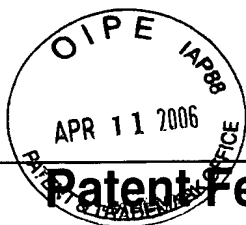
The Appeal fee of \$500.00 is:

- ☒ enclosed (Credit Card Authorization Form)
- ☐ not required (fee paid in prior appeal in this application).
- ☐ requested to be charged to Deposit Account Number 07-0865.
- ☐ Small Entity Status has been established.
- ☐ A verified statement establishing small entity status is enclosed.

Eric W. Gutttag  
Registration Number 28,853

Jagtiani + Gutttag  
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April 11, 2006



# Patent Fee Transmittal for FY 2006

☐ Applicant(s) Claims Small Entity Status 37 C.F.R. 1.27

**TOTAL AMOUNT OF PAYMENT**

**\$500.00**

Application No. 10/797,422  
Filing Date 10-Mar-04  
Named Inventor ACKERMAN, et al.  
Examiner Name TUROCY, David P.  
Art Unit 1762  
Attorney Docket No. 122802-3

## FEE CALCULATION

### 1. Filing Fees

Application Type	Description	Large Entity		Small Entity		Paid
		Code	(\$)	Code	(\$)	
Utility	Basic	1011	300	2011	150	\$ -
	Examination	1311	200	2311	100	\$ -
	Search	1111	500	2111	250	\$ -
Design	Basic	1012	200	2012	100	\$ -
	Examination	1312	130	2312	65	\$ -
	Search	1112	100	2112	50	\$ -
Plant	Basic	1013	200	2013	100	\$ -
	Examination	1313	160	2313	80	\$ -
	Search	1113	300	2113	150	\$ -
Reissue	Basic	1014	300	2014	150	\$ -
	Examination	1114	600	2114	300	\$ -
	Search	1314	500	2314	250	\$ -
Provisional	Basic	1005	200	2005	100	\$ -
National Stage	Basic	1631	300	2631	150	\$ -
	Examination	1633	200	2633	100	\$ -
	Search	1632	500	2632	250	\$ -

### 2. Extra Claim Fee

#### a. Claims as Filed

		Large Entity		Small Entity		Paid
		Code	(\$)	Code	(\$)	
Total Claims	0 - 20 = 0	1201	50	2201	25	\$ -
Independent	0 - 3 = 0	1202	200	2202	100	\$ -
Multiple Dependent		1203	360	2203	180	\$ -

#### b. Claims as Amended

		Large Entity		Small Entity		Paid
		Code	(\$)	Code	(\$)	
Total Claims	After Amt 19 - Highest Paid 21 * = 0	1201	50	2201	25	\$ -
Independent	2 - 2 ** = 0	1202	200	2202	100	\$ -
First Presentation of Multiple Dependent		1203	360	2203	180	\$ -

\* Less than 20, enter 20 \*\* Less than 3, enter 3

### 3. Extra Page Fee

		Large Entity		Small Entity		Paid
		Code	(\$)	Code	(\$)	
Total Pages	0 - 100 = 0	1081	250	2081	125	\$ -

#### Subtotal for Application Fees

1 \$ - + 2 \$ - + 3 \$ - = \$ -

### 4. Additional Fees

Description	Large Entity		Small Entity		Paid
	Code	(\$)	Code	(\$)	
Extension for response first month	1251	120	2251	60	\$ -
Extension for response second month	1252	450	2252	225	\$ -
Extension for response third month	1253	1,020	2253	510	\$ -
Extension for response fourth month	1254	1,590	2254	795	\$ -
Extension for response fifth month	1255	2,160	2255	1,080	\$ -
Notice of Appeal	1401	500	2401	250	\$ 500
Filing a Brief in Support of an Appeal	1402	500	2402	250	\$ -
Request for Oral hearing	1403	1,000	2403	500	\$ -
Petitions under 1.17(f)	1462	400	2462	400	\$ -
Petitions under 1.17(g)	1463	200	2463	200	\$ -
Petitions under 1.17(h)	1464	130	2464	130	\$ -
Petition - public use proceeding	1451	1,510	2451	1,510	\$ -
Petition to Revive - Unavoidable	1452	500	2452	250	\$ -
Petition to Revive - Unintentional	1453	1,500	2453	750	\$ -
Utility Issue Fee	1501	1,400	2501	700	\$ -
Design Issue Fee	1502	800	2502	400	\$ -
Plant Issue Fee	1503	1,100	2503	550	\$ -
Reissue Issue Fee	1511	1,400	2511	700	\$ -
Publication Fee	1504	300	2504	300	\$ -
Statutory Disclaimer	1814	130	2814	65	\$ -

(cont.)

Additional Fee Subtotal 4 \$ 500

### METHOD OF PAYMENT (Check all that apply)

☒ Credit Card (Provide credit card information and authorization on PTO-2038)

☒ Deposit Account No. 10-0233-GEAE-0011-DV1

For the above-identified deposit account, the Director is hereby authorized to:

☐ To charge the above-identified fee.

☒ To charge any additional fees which may be required under 37 CFR 1.16, 1.17, 1.18, 1.20 and 1.492 or credit any overpayment to the deposit account number listed above.

Submitted by:

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*Eric W. Gutttag*  
Signature

April 11, 2006  
Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/797,422  
Applicant : ACKERMAN, *et al.*  
Filed : MARCH 10, 2004  
Title : THERMAL BARRIER COATING PROTECTED BY INFILTRATED ALUMINA  
AND METHOD FOR PREPARING SAME

Art Unit : 1762  
Examiner : TUROCY, DAVID P.

Atty Docket No. : 122802-3

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The below-identified communication(s) is (are) submitted in the above-captioned application or proceeding:

- ☒ Notice of Appeal
- ☒ Amendment Fee Transmittal and Authorization to Charge Deposit Account
- ☒ Credit Card Payment Form
  
- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication, including fees under 37 C.F.R. §§ 1.16 and 1.17 or credit any overpayment to **Deposit Account Number 10-0233-GEAE-0011-DV1**.

Respectfully submitted,

Eric w. Gutttag  
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